

Clerk of the House of Representatives
Legislative Resource Center
135 Cannon Building
Washington, DC 20515
<http://lobbyingdisclosure.house.gov>

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510
<http://www.senate.gov/lobby>

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name <input checked="" type="checkbox"/> Organization/Lobbying Firm <input type="checkbox"/> Self Employed Individual <u>Duke Energy Corporation</u>			
2. Address Address1 <u>325 Seventh St. NW Suite 300</u> Address2 _____ City <u>Washington</u> State <u>DC</u> Zip Code <u>20004</u> Country <u>USA</u>			
3. Principal place of business (if different than line 2) City _____ State _____ Zip Code _____ Country _____			
4a. Contact Name _____ b. Telephone Number _____ c. E-mail _____			5. Senate ID# <u>12744-12</u>
7. Client Name <input checked="" type="checkbox"/> Self <input type="checkbox"/> Check if client is a state or local government or instrumentality <u>Duke Energy Corporation</u>			6. House ID# <u>309010000</u>

TYPE OF REPORT

8. Year 2018 Q1 (1/1 - 3/31) ☐ Q2 (4/1 - 6/30) ☒ Q3 (7/1 - 9/30) ☐ Q4 (10/1 - 12/31) ☐

9. Check if this filing amends a previously filed version of this report ☒

10. Check if this is a Termination Report ☐ Termination Date _____ 11. No Lobbying Issue Activity ☐

INCOME OR EXPENSES - YOU MUST complete either Line 12 or Line 13

<p align="center">12. Lobbying</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p><u>Less than \$5,000</u> <input type="checkbox"/></p> <p><u>\$5,000 or more</u> <input type="checkbox"/> \$ _____</p> <p>Provide a good faith estimate, rounded to the nearest \$10,000, of all lobbying related income for the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p align="center">13. Organizations</p> <p>EXPENSE relating to lobbying activities for this reporting period were:</p> <p><u>Less than \$5,000</u> <input type="checkbox"/></p> <p><u>\$5,000 or more</u> <input checked="" type="checkbox"/> \$ <u>1,256,230.00</u></p> <p>14. REPORTING Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definitions only</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code</p>
---	---

Signature Digitally Signed By: Richard G. Beach

Date 7/26/2018
11:45:22 AM

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code ENG

16. Specific lobbying issues

S. 512 A bill to modernize the regulation of nuclear energy;
H.R. 590 To foster civilian research and development of advanced nuclear energy technologies and enhance the licensing and commercial deployment of such technologies;
S. 239 The Energy Savings Through Public-Private Partnerships Act of 2017;
H.R. 723 The Energy Savings Through Public-Private Partnerships Act of 2017;
S. 385 The Energy Savings and Industrial Competitiveness Act;
H.R. 1443 The Energy Savings and Industrial Competitiveness Act.
H.R. 3053 Nuclear Waste Policy Amendments Act of 2017
S. 1460 - Energy and Natural Resources Act of 2017
S. 1180 - Clean Energy Grid Act
Promote & protect the interests of natural gas utilities regarding pipeline safety legislation and any regulatory regime affecting natural gas utilities.
Promote the development of the natural gas vehicle market by supporting various incentives.
Protect the Utility Service Vehicle exemption in SAFETEA-LU
Support incentives for expansion of natural-gas as transportation fuel and fueling stations.
Support incentives for natural gas vehicles.
Advocate for research and development of natural gas vehicle technologies.
Executive Order on U.S. Steel - Informing members of Congress and the Executive Branch on the impacts of U.S. Steel to LDCs
H.R. 3043 - Hydropower Policy Modernization Act
S 1265 - A bill to amend the Nuclear Waste Policy Act of 1982 to provide for the expansion of emergency planning zones and the development of plans for dry cask storage of spent nuclear fuel, and for other purposes.
S 1457 - A bill to amend the Energy Policy Act of 2005 to direct the Secretary of Energy to carry out demonstration projects relating to advanced nuclear reactor technologies to support domestic energy needs.
H.R. 4476 - To modernize the Public Utility Regulatory Policies Act of 1978, and for other purposes.
Consulted DOI on gas transmission and distribution issues related to pipeline development
S 79 - Securing Energy Infrastructure Act
S 1844 - Coordinating Interagency Review of Natural Gas Infrastructure Act
S. 2776, Update PURPA Act;

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Interior - Dept of (DOI)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Michael	Sewell			<input type="checkbox"/>
Thomas	Craig			<input type="checkbox"/>
Colleen	Moss			<input type="checkbox"/>
Pepper	Natonski			<input type="checkbox"/>
Louis	Renjel			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code TAX

16. Specific lobbying issues

Tax Cuts and Jobs Act 2017 - interest deductibility, AMT credits, and issues impacting regulated utilities
Tax extenders package

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Treasury - Dept of, White House Office

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Michael	Sewell			<input type="checkbox"/>
Colleen	Moss			<input type="checkbox"/>
Pepper	Natonski			<input type="checkbox"/>
Thomas	Craig			<input type="checkbox"/>
Louis	Renjel			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

--

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code HOM

16. Specific lobbying issues

S. 79 The Securing Energy Infrastructure Act;
SAFETY Act legislation
H.R. 2825 - Department of Homeland Security Authorization Act
S. 783 - Surface and Maritime Transportation Security Act
H.R. 1224 - NIST Cybersecurity Framework, Assessment, and Auditing Act of 2017
S.3109 - Department of Homeland Security Appropriations Act, 2019

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Michael	Sewell			<input type="checkbox"/>
Thomas	Craig			<input type="checkbox"/>
Pepper	Natonski			<input type="checkbox"/>
Louis	Renjel			<input type="checkbox"/>
Colleen	Moss			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

--

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code ENV

16. Specific lobbying issues

H.R. 806, the Ozone Standards Implementation Act;
S. 263, the Ozone Standards Implementation Act;
S. J. Res. 21, providing for congressional disapproval of the EPA Cross-State Air Pollution Rule Update for the 2008 Ozone NAAQS;
S 1756 - Rebuild America Now Act

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Michael	Sewell			<input type="checkbox"/>
Thomas	Craig			<input type="checkbox"/>
Pepper	Natonski			<input type="checkbox"/>
Colleen	Moss			<input type="checkbox"/>
Louis	Renjel			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

--

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code UTI

16. Specific lobbying issues

H. Res 232 Recognizing linemen, the profession of linemen, the contributions of these brave men and women who protect public safety, and expressing support for the designation of April 18, 2017, as National Lineman Appreciation Day.
H. Res 618 Expressing support for the designation of the third Wednesday of November as "Utility Scam Awareness Day"

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Thomas	Craig			<input type="checkbox"/>
Pepper	Natonski			<input type="checkbox"/>
Michael	Sewell			<input type="checkbox"/>
Colleen	Moss			<input type="checkbox"/>
Louis	Renjel			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

--

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code LBR

16. Specific lobbying issues

H.R.2353 Strengthening Career and Technical Education for the 21st Century
H.R.338 To promote a 21st century energy and manufacturing workforce.
Workforce Development
S. 3217, Strengthening Career and Technical Education for the 21st Century Act.

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Colleen	Moss			<input type="checkbox"/>
Michael	Sewell			<input type="checkbox"/>
Thomas	Craig			<input type="checkbox"/>
Pepper	Natonski			<input type="checkbox"/>
Louis	Renjel			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

--

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code BUD

16. Specific lobbying issues

H.R. 761 The Pension and Budget Integrity Act;
 S. 270 The Pension and Budget Integrity Act.
 H.R. 431 - To enable civilian research and development of advanced nuclear energy technologies by private and public institutions, to expand theoretical and practical knowledge of nuclear physics, chemistry, and materials science, and for other purposes.
 S 1460 - A bill to provide for the modernization of the energy and natural resources policies of the United States, and for other purposes.
 Protecting Single Employer Pension System -- no specific legislation.
 H.R. 5895, Energy and Water, Legislative Branch, and Military Construction and Veterans Affairs Appropriations Act, 2019
 HR 6147, Department of the Interior, Environment, and Related Agencies Appropriations Bill, 2019
 S.3109 - Department of Homeland Security Appropriations Act, 2019

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Michael	Sewell			<input type="checkbox"/>
Thomas	Craig			<input type="checkbox"/>
Pepper	Natonski			<input type="checkbox"/>
Colleen	Moss			<input type="checkbox"/>
Louis	Renjel			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code TRD

16. Specific lobbying issues

Solar ITC Case
Uranium tariff proposal

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Commerce - Dept of (DOC), Treasury - Dept of, White House Office, U.S. International Trade Commission (ITC), U.S. Trade Representative (USTR), Defense - Dept of (DOD), Energy - Dept of

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Thomas	Craig			<input type="checkbox"/>
Pepper	Natonski			<input type="checkbox"/>
Louis	Renjel			<input type="checkbox"/>
Michael	Sewell			<input type="checkbox"/>
Colleen	Moss			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code CAW

16. Specific lobbying issues

H.R. 3128, to amend section 111 of the CAA to clarify when a physical change in, or change in the method of operation of, a stationary source constitutes a modification, and for other purposes;

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Louis	Renjel			<input type="checkbox"/>
Michael	Sewell			<input type="checkbox"/>
Pepper	Natonski			<input type="checkbox"/>
Thomas	Craig			<input type="checkbox"/>
Colleen	Moss			<input type="checkbox"/>
Victoria	Sullivan			<input checked="" type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

Address _____
 City _____ State _____ Zip Code _____ Country _____

21. Client new principal place of business (if different than line 20)

City _____ State _____ Zip Code _____ Country _____

22. New General description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

First Name	Last Name	Suffix	First Name	Last Name	Suffix
1			3		
2			4		

ISSUE UPDATE

24. General lobbying issue that no longer pertains

☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Internet Address:

Name	Address				Principal Place of Business (city and state or country)
	Street Address	City	State/Province	Zip Country	
					City State Country

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

1

2

3

FOREIGN ENTITIES

27. Add the following foreign entities:

Name	Address			Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client
	Street Address	City	State/Province Country			
				City State Country		%

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization

1

2

3

4

5

6